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# Majorisation-minimisation based optimisation of the composite autoregressive system with application to glottal inverse filtering

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# Introduction

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# Introduction

- Glottal inverse filtering (GIF) is useful, for example in excitation modeling parametric speech synthesis [Raitio et al., 2011]
- Recent text-to-speech synthesis quality improvements using quasi-closed phase (QCP) inverse filtering [Juvela et al., 2016]

## Issues:

- QCP requires accurate pitch-marks, which are difficult to estimate with breathy voices or noisy speech
- Frame-by-frame analysis does not take advantage of the relatively stationary voice production process

# Introduction

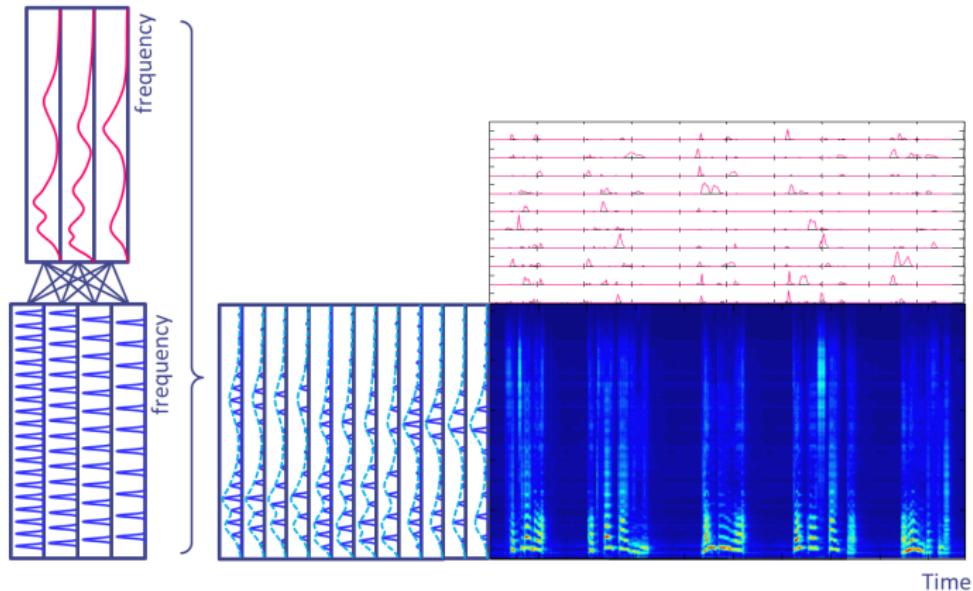
- Composite autoregressive (CAR) system  
[Kameoka and Kashino, 2009] provides a robust statistical model for source-filter estimation
- Model is optimised in time-frequency domain, which allow taking advantage of inter-frame dependencies
- Current expectation-maximisation (EM) based algorithm is somewhat slow

## This paper:

- Derive faster optimisation method for the CAR system, similarly to NMF multiplicative updates
- Develop a GIF method based on CAR

# Composite autoregressive system

- Spectrum is modeled as a weighted sum of source and filter pair combinations



# Signal model

- Each component in frame  $n$  has the distribution  $\mathbf{X}_n^{i,j} \sim \mathcal{N}_{\mathbb{C}}(0, \Lambda_n^{i,j})$ , where  $\Lambda_n^{i,j} = \text{diag}(\lambda_{1,n}^{i,j}, \dots, \lambda_{K,n}^{i,j})$
- The observed complex spectrogram  $\mathbf{Y}_n$  is given by sum of  $\mathbf{X}_n^{i,j}$

$$\mathbf{Y}_n = \sum_{i,j} \mathbf{X}_n^{i,j} \sim \mathcal{N}(0, \Phi_n), \quad (1)$$

$$\Phi_n = \sum_{i,j} \Lambda_n^{i,j} = \text{diag}(\phi_{1,n}, \dots, \phi_{K,n}) \quad (2)$$

# Composite autoregressive system

- Model component  $\lambda_{k,n}^{i,j}$  for frame  $n$  and spectrum bin  $k$
- Source components  $F_k^i$ , in total  $I$  templates
- All-pole filter components  $H_k^j = 1/|A^j(e^{j2\pi k/K})|^2$ , in total  $J$
- Model spectrogram component  $\phi_{k,n} = \sum_{i,j} \lambda_{k,n}^{i,j}$

$$\lambda_{k,n}^{i,j} = \frac{U_n^{i,j} F_k^i}{|A^j(e^{j2\pi k/K})|^2} = U_n^{i,j} F_k^i H_k^j \quad (3)$$

$$A^j(z) = 1 - a_1^j z^{-1} - \dots - a_P^j z^{-P} \quad (4)$$

# Composite autoregressive system

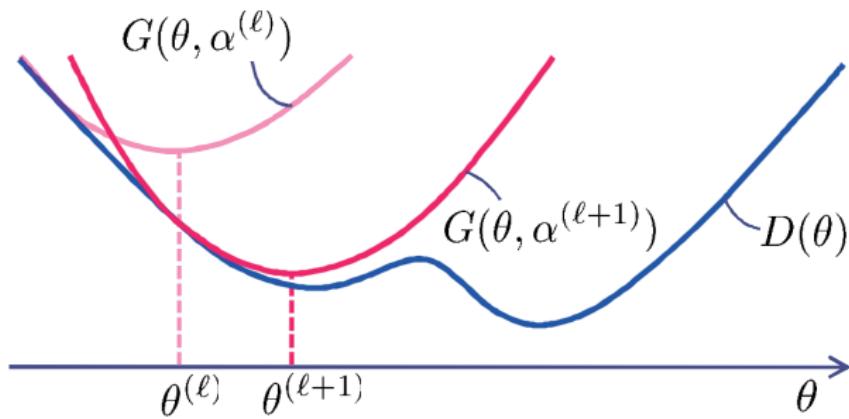
- Maximising the likelihood of  $Y_{k,n}$  with respect to  $\phi_{k,n}$  amounts to minimising the Itakura-Saito divergence  $D_{\text{IS}}$

$$D_{\text{IS}}(\mathbf{Y}, \boldsymbol{\Phi}) = \sum_{k,n} \left( \frac{Y_{k,n}}{\phi_{k,n}} + \log(\phi_{k,n}) \right) + \text{const.} \quad (5)$$

- We already know how to do this for NMF (good description in [Kameoka, 2016])
- MM gives the multiplicative NMF update rules

# Majorisation-minimisation

- Minimizing objective function  $D(\theta)$  directly is difficult
- Construct upper bound (auxiliary) function  $G(\theta, \alpha^{(\ell)})$  that is easy to minimize
- Alternating between setting a new  $G(\theta, \alpha^{(\ell)})$  (majorization) and updating  $\theta$  (minimization) is guaranteed to decrease  $D(\theta)$



# Generalized gamma prior

## What do we want from the prior?

- Should induce sparsity in the activations (approximately only one source-filter pair is active at a time)
- Prior mean should encourage spectral tilt to the source templates
- Use generalized gamma prior (with parameters  $\eta, d, p$ ), shown here only for the activations  $U$

$$p(U) \propto U^{d-1} \exp\left(-\frac{U^p}{\eta}\right) \quad (6)$$

$$\log(U) = (d-1) \log(U) - \frac{U^p}{\eta} + \text{const.} \quad (7)$$

# Generalized gamma prior

- $U^p$  term is problematic, construct upper bound by constraining  $p < 1$ , making  $U^p$  concave
- Then  $U^p$  is bounded by its tangent at  $U = V$ , where  $V$  is the auxiliary variable

$$U^p \leq pV^{p-1}(U - V) + V^p + \text{const.} \quad (8)$$

## Auxiliary function

- Construct upper bound by using Jensen's inequality and tangent inequality

$$D_{\text{IS}}(\mathbf{Y}, \Phi) = \sum_{k,n} \left( \frac{Y_{k,n}}{\phi_{k,n}} + \log(\phi_{k,n}) \right) - \sum_{n,i,j} \log(p(U_n^{i,j}))$$
$$G_{\text{IS}} = \sum_{k,n} \left[ \sum_{i,j} \frac{Y_{k,n}(\xi_{k,n}^{i,j})^2}{F_k^i U_n^{i,j} H_k^j} + \sum_{i,j} \frac{F_k^i U_n^{i,j} H_k^j}{\alpha_{k,n}} \right]$$
$$- \sum_{n,i,j} \left[ (d-1) \log(U_n^{i,j}) \right.$$
$$\left. - \frac{1}{\eta} p(V_n^{i,j})^{p-1} (U_n^{i,j} - V_n^{i,j}) + \frac{1}{\eta} (V_n^{i,j})^p \right] \quad (9)$$

# Auxiliary function

- $(\alpha_{k,n}, \xi_{k,n}^{i,j}, V_n^{i,j})$  are the auxiliary variables, and the equality for the upper bound holds only when

$$\xi_{k,n}^{i,j} = \frac{U_n^{i,j} F_k^i H_k^j}{\phi_{k,n}} \quad (10)$$

$$\alpha_{k,n} = \phi_{k,n} \quad (11)$$

$$V_n^{i,j} = U_n^{i,j} \quad (12)$$

## Update rules

- Differentiating  $G_{\text{IS}}$  w.r.t.  $U_n^{i,j}$  and substituting the aux. vars. gives

$$U_n^{i,j} \leftarrow \frac{b_U + \sqrt{b_U^2 + 4a_U c_U}}{2a_U} \quad (13)$$

$$a_U = \sum_k \frac{F_k^i H_k^j}{\phi_{k,n}} + \frac{p}{\eta} (U_n^{i,j})^{p-1} \quad (14)$$

$$b_U = K(d-1) \quad (15)$$

$$c_U = \sum_k \frac{Y_{k,n} F_k^i H_k^j (U_n^{i,j})^2}{\phi_{k,n}^2} \quad (16)$$

- Constraining  $d \geq 1$  guarantees positivity

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## Update rules

- Similar procedure for  $F_k^i$  gives

$$F_k^i \leftarrow \frac{b_H + \sqrt{b_H^2 + 4a_H c_H}}{2a_H} \quad (17)$$

$$a_H = \sum_{n,j} \frac{U_n^{i,j} H_k^j}{\phi_{k,n}} + \frac{p}{\eta_{k,i}} (F_k^i)^{p-1} \quad (18)$$

$$b_H = NJ(d-1) \quad (19)$$

$$c_H = \sum_{n,j} \frac{Y_{k,n} U_n^{i,j} H_k^j (F_k^i)^2}{\phi_{k,n}^2} \quad (20)$$

# Update rules

- Uniform prior simplifies update to

$$F_k^i \leftarrow F_k^i \sqrt{\frac{\sum_{n,j} \frac{Y_{k,n} U_n^{i,j} H_k^j}{\phi_{k,n}^2}}{\sum_{n,j} \frac{U_n^{i,j} H_k^j}{\phi_{k,n}}}} \quad (21)$$

- This closely resembles the I-S multiplicative NMF updates

## Update rules

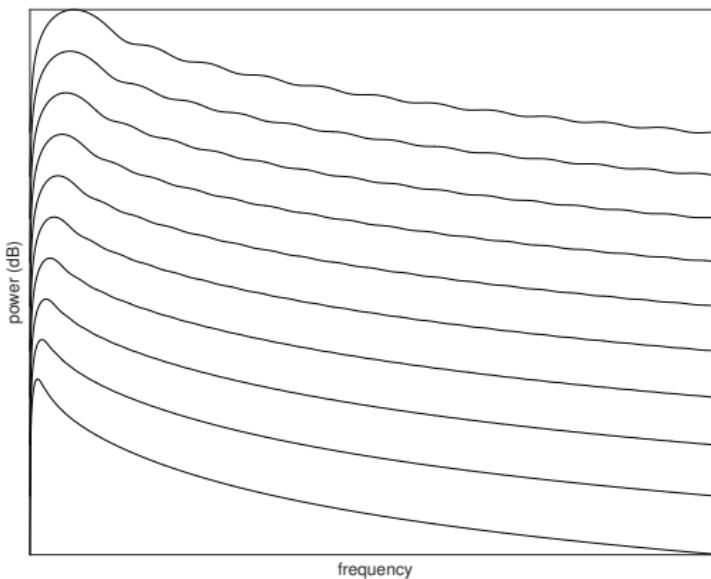
- The all-pole filter coefficients are solved from the normal equations resulting from a similar update in spectral domain

$$\begin{bmatrix} r_0^j & r_1^j & \dots & r_{P-1}^j \\ r_1^j & r_0^j & & r_{P-2}^j \\ \vdots & & \ddots & \vdots \\ r_{P-1}^j & r_{P-2}^j & \dots & r_0^j \end{bmatrix} \begin{bmatrix} a_1^j \\ a_2^j \\ \vdots \\ a_P^j \end{bmatrix} = \begin{bmatrix} r_1^j \\ r_2^j \\ \vdots \\ r_P^j \end{bmatrix} \quad (22)$$

$$r_{1,\dots,P}^j = \text{DFT}^{-1} \left\{ H_k^j \sqrt{\frac{\sum_{n,i} \frac{Y_{k,n} U_n^{i,j} F_k^i}{\phi_{k,n}^2}}{\sum_{n,i} \frac{U_n^{i,j} F_k^i}{\phi_{k,n}}}} \right\} \quad (23)$$

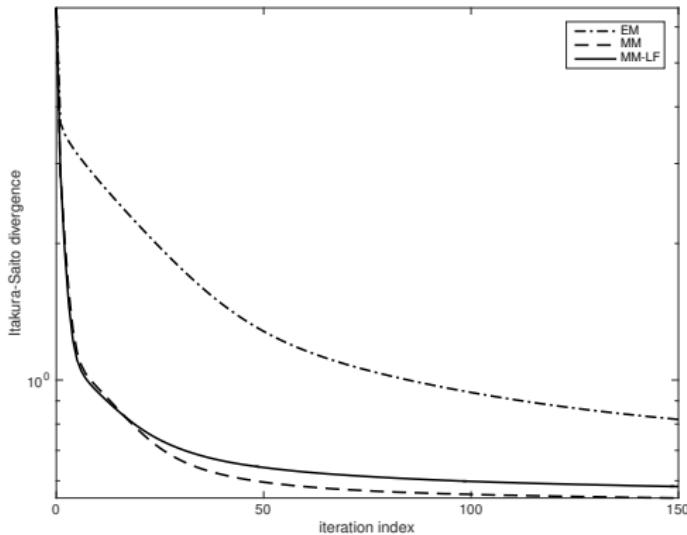
## Source prior

- Set an LF glottal model based prior for the source templates
- Use the  $\eta_k^i$ , parameter which is proportional to distribution mean



# Convergence rate

- Plot mean I-S divergence versus iteration index
- MM-based methods converge faster than the original EM-based method



# Glottal inverse filtering

- The expected value of individual model component contribution to  $Y_{n,k}$  is

$$\mathbb{E} \left[ \hat{Y}_{k,n}^{i,j} \right] = Y_{k,n} \frac{\lambda_{k,n}^{i,j}}{\phi_{k,n}} = Y_{k,n} \frac{U_n^{i,j} F_k^i H_k^j}{\phi_{k,n}} \quad (24)$$

- Source estimate is obtained by removing the filter component and summing over components

$$\hat{S}_{k,n} = \sum_{i,j} \hat{S}_{k,n}^{i,j} = \sum_{i,j} Y_{k,n} \frac{U_n^{i,j} F_k^i}{\phi_{k,n}} \quad (25)$$

# Test signals

- Synthetic signals with known ground truth are required to evaluate glottal inverse filtering methods
- Use a corpus of sustained Finnish vowels with labelled neutral, breathy and pressed phonation
- Estimate LPC envelope and  $f_0$  from speech, synthesize with LF pulses modified by harmonic-to-noise ratio (HNR)

Style	Speaker 1	Speaker 2
Neutral	▶ orig.	▶ orig.
	▶ syn.	▶ syn.
Breathy	▶ orig.	▶ orig.
	▶ syn.	▶ syn.
Pressed	▶ orig.	▶ orig.
	▶ syn.	▶ syn.

# Evaluation

- Compare with iterative adaptive inverse filtering (IAIF) [Alku, 1992] and QCP methods (both currently used in glottal vocoding)
- Use error measures derived from glottal parameterisations:
  - Mean squared error (MSE)
  - First harmonic to second harmonic difference in dB (H1H2)
  - Harmonic Richness Factor (HRF)
  - Normalised Amplitude Quotient (NAQ)
  - Quasi-Open Quotient (QOQ)
- Error measures grouped by phonation, lower score is better
- Proposed method CAR-MM without source prior and CAR-MM-LF with LF-based source prior

# Evaluation

Neutral phonation ( $I = 5, J = 3, N = 26593$ )

	MSE	H1H2	HRF	NAQ	QOQ
IAIF	<b>6.31e-04</b>	2.05	0.36	<b>0.13</b>	<b>0.18</b>
QCP	7.92e-04	2.03	0.79	0.14	0.28
CAR-MM	8.35e-04	1.76	<b>0.28</b>	0.23	0.24
CAR-MM-LF	8.18e-04	<b>1.74</b>	0.49	0.16	0.26

Pressed phonation ( $I = 5, J = 3, N = 26774$ )

	MSE	H1H2	HRF	NAQ	QOQ
IAIF	9.27e-04	1.75	0.72	<b>0.14</b>	<b>0.20</b>
QCP	8.51e-04	2.03	1.23	0.20	0.30
CAR-MM	8.26e-04	<b>1.68</b>	<b>0.47</b>	0.18	0.24
CAR-MM-LF	<b>8.18e-04</b>	1.74	0.49	0.16	0.26

# Evaluation

Breathy phonation ( $I = 5, J = 3, N = 32281$ )

	MSE	H1H2	HRF	NAQ	QOQ
IAIF	4.95e-04	4.91	0.39	<b>0.07</b>	0.11
QCP	9.69e-04	<b>2.44</b>	0.71	0.17	0.24
CAR-MM	<b>4.82e-04</b>	3.36	<b>0.37</b>	<b>0.07</b>	<b>0.10</b>
CAR-MM-LF	5.36e-04	4.06	0.43	<b>0.07</b>	0.12

# Conclusion

- Composite autoregressive system provides a convenient NMF like source-filter modelling framework
- Derived MM optimisation algorithm for CAR system converges faster than the original EM-based algorithm
- Proposed glottal inverse filtering method performs reasonably well for neutral and pressed phonation and outperforms reference methods with breathy phonation

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